

Please type a plus sign (+) inside this box →

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/075,706	
	Filing Date	Feb 13, 2002	
	First Named Inventor	Featherby, Ph.D., Michael	
	Group Art Unit	2818	
	Examiner Name		
Total Number of Pages in This Submission	18	Attorney Docket Number	73498

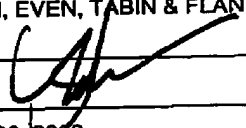
**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice to File Missing Parts Copy of Declaration Petition Submitted Under 37 CFR 1.8 Declaration of Thomas F. Lebens, Including Exhibits A through C
Remarks		

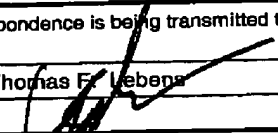
FAX COPY RECEIVED

JUN 26 2002

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	FITCH, EVEN, TABIN & FLANNERY, Thomas F. Lebens	TECHNOLOGY CENTER 2800
Signature		
Date	June 26, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office via 703-872-9318 on this date: June 26, 2002			
Type or printed name	Thomas F. Lebens	Date	June 26, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	10/075,706
		Filing Date	2/13/2002
		First Named Inventor	Featherby, Ph.D., et al.
		Examiner Name	
		Group Art Unit	2818
		Attorney Docket No.	73498
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		JUN 26 2002 TECHNOLOGY CENTER 2600	
TOTAL AMOUNT OF PAYMENT (\$)		130	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 06-1135 Deposit Account Name: FITCH, EVEN, TABIN & FLANNERY		Large Entity   Small Entity	
The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application EXCEPT ISSUE FEE. <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		Fee   Fee   Fee   Fee   Fee Paid	
FEE CALCULATION		105   130   205   65   Surcharge - late filing fee or oath	
<b>1. BASIC FILING FEE</b>		127   50   227   25   Surcharge - late provisional filing fee or cover sheet	
Large Entity   Small Entity   Fee Description   Fee Paid		138   130   139   130   Non-English specification	
Fee Code   Fee (\$) 101   740   201   370   Utility filing fee		147   2,520   147   2,520   For filing a request for ex parte reexamination	
106   330   206   165   Design filing fee		112   920*   112   920*   Requesting publication of SIR prior to Examiner action	
107   510   207   255   Plant filing fee		113   1,840*   113   1,840*   Requesting publication of SIR after Examiner action	
108   740   208   370   Reissue filing fee		Extension for reply within first month	
114   160   214   80   Provisional filing fee		115   110   215   55   Extension for reply within second month	
<b>SUBTOTAL (1) (\$)</b>		116   400   216   200   Extension for reply within third month	
<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b>		117   920   217   460   Extension for reply within fourth month	
Total Claims   -20**= <input type="checkbox"/> X   Fee from below   =   Fee Paid		118   1,440   218   720   Extension for reply within fifth month	
Independent Claims   -3**= <input type="checkbox"/> X   =   =		128   1,980   228   980   Extension for reply within fifth month	
Multiple Dependent   =   =		119   320   219   160   Notice of Appeal	
Large Entity   Small Entity   Fee Description   Fee Paid		120   320   220   160   Filing a brief in support of an appeal	
Fee Code   Fee (\$) 103   18   203   9   Claims in excess of 20		121   280   221   140   Request for oral hearing	
102   84   202   42   Independent claims in excess of 3		138   1,510   138   1,510   Petition to institute a public use proceeding	
104   280   204   140   Multiple dependent claim, if not paid		140   110   240   55   Petition to revive - unavoidable	
109   84   209   42   **Reissue independent claims over original patent		141   1,280   241   640   Petition to revive - unintentional	
110   18   210   9   **Reissue claims in excess of 20 and over original patent		142   1,280   242   640   Utility issue fee (or reissue)	
<b>SUBTOTAL (2) (\$)</b>		143   460   243   230   Design issue fee	
** or number previously paid, if greater; For Reissues, see above		144   620   244   310   Plant issue fee	
		122   130   122   130   Petitions to the Commissioner	
		123   50   123   50   Processing fee under 37 CFR 1.17(q)	
		126   180   126   180   Submission of Information Disclosure Stmt	
		581   40   581   40   Recording each patent assignment per property (times number of properties)	
		146   740   246   370   Filing a submission after final rejection (37 CFR § 1.129(a))	
		149   740   249   370   For each additional invention to be examined (37 CFR § 1.129(b))	
		179   740   279   370   Request for Continued Examination (RCE)	
		169   900   169   900   Request for expedited examination of a design application	
		Other fee (specify) _____	
		*Reduced by Basic Filing Fee Paid <b>SUBTOTAL (3) (\$)</b> <b>130</b>	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas F. Levens	Registration No. (Attorney/Agent)	38221
Signature		Telephone	858-587-7644
		Date	June 26, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/075,706	02/13/2002	Michael Featherby	73498

CONFIRMATION NO. 9800

## FORMALITIES LETTER

\*OC000000007963314\*

 22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 60603-3406

Date Mailed: 04/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

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JUN 26 2002

TECHNOLOGY CENTER 2800


*A copy of this notice MUST be returned with the reply.*

06/26/2002 18:43 FAX 805 541 2868

SS&B

004

Page 2 of 2

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO. 73498

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Featherby, et al. )

Serial No.: 10/075,706 )

Filed: 2/13/2002 )

For: ELECTRONIC DEVICE )  
PACKAGING )Group Art )  
Unit: 2818 )

Examiner: Unknown )

PETITION SUBMITTED UNDER 37 C.F.R. 1.10

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the notice to file missing parts mailed on April 26, 2002 indicating a missing declaration, applicant would like to respectfully point out that a copy of a properly executed declaration was submitted with the above-identified continuation application at the time of filing on February 13, 2002.

Applicants submit herewith a copy of the Notice to File Missing Parts.

Petition 1.10  
S.N. 10/075,706

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Applicants also submit herewith a copy of the properly executed declaration.

Applicants also submit herewith the Declaration of Thomas F. Lebens (hereinafter referred to as the Lebens declaration) and supporting Exhibits A-C as evidence indicating that the declaration was in fact deposited in the United States Patent and Trademark Office on February 13, 2002. Thomas F. Lebens is the attorney who filed the present application.<sup>1</sup>

As shown in the Lebens declaration and the Express Mail Mailing Label of Exhibit A, Applicants properly deposited the nonprovisional application papers, including the properly executed declaration, with the United States postal Service by "Express Mail Post office to Addressee" in accordance with 37 C.F.R. § 1.10 on February 13, 2002.<sup>2</sup>

The MPEP states that "a postcard receipt which itemizes and properly identifies the items which are being filed serves as *prima facie* evidence of receipt in the USPTO of all the items listed thereon on the date stamped thereon by the USPTO."<sup>3</sup> Additionally, the MPEP

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<sup>1</sup>Lebens declaration, paragraph 3

<sup>2</sup>Lebens declaration, paragraphs 4-9 and Exhibit A

<sup>3</sup>MPEP § 503

Petition 1.10  
S.N. 10/075,706

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states that "if a new application is being filed, all parts of the application being submitted should be separately listed on the postcard, e.g., the number of pages of specification (including written description, claims and abstract), number of claims, number of sheets of drawings, number of pages of oath/declaration."<sup>4</sup> Furthermore, the person receiving the item(s) in the USPTO will check the listing on the postcard against the items(s) being filed to be sure they are properly identified and that all the items listed on the postcard are presently being submitted to the USPTO.<sup>5</sup> If any items listed on the postcard are not being submitted to the USPTO, those items will be crossed off and the postcard initialed by the person receiving the items.<sup>6</sup>

As provided in the attached Declaration of Thomas F. Lebens and the Return Receipt Postcard of Exhibit B, Applicants' Return Receipt Postcard clearly identified all parts of the application and accompanying documents (e.g. the declaration) in accordance with the exact suggestion provided in MPEP § 503, i.e., identifying the number of pages of specification (including written

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<sup>4</sup>MPEP § 503

<sup>5</sup>MPEP § 503

<sup>6</sup>MPEP § 503

Petition 1.10  
S.N. 10/075,706

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description, claims and abstract), number of claims, number of sheets of drawings, and number of pages of oath/declaration.<sup>7</sup> The postcard of Exhibit B clearly identifies that the declaration was included in the submitted documents.<sup>8</sup>

As is clearly shown, the copy of the Return Receipt Postcard is date stamped February 13, 2002.<sup>9</sup> Furthermore, no items listed the Return Receipt Postcard are crossed off and initialed by the person receiving the submission in the USPTO.<sup>10</sup>

Therefore, in accordance with MPEP § 503, the Return Receipt Postcard of Exhibit B establishes *prima facie* evidence that the declaration was in fact deposited in the USPTO with the nonprovisional application on February 13, 2002.

Additionally, the declaration of Thomas F. Lebens outlines his standard procedure used for filing a nonprovisional application in the USPTO. This standard practice includes (1) counting the number of pages of the specification confirming that all pages are included and

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<sup>7</sup>Lebens declaration, paragraphs 10-14 and Exhibit B

<sup>8</sup>Lebens declaration, paragraph 13 and Exhibit B

<sup>9</sup>Lebens declaration, paragraph 11 and Exhibit B

<sup>10</sup>Lebens declaration, Exhibit B



Petition 1.10  
S.N. 10/075,706

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in proper sequence<sup>11</sup> to verify the information listed on the transmittal prior to signing the transmittal and (2) counting all of the claims of the application to verify the information listed on the transmittal prior to signing the transmittal<sup>12</sup>. The Utility Patent Application Transmittal of Exhibit C and the transmittal filed in the present application indicate that a declaration was submitted.<sup>13</sup>

This standard practice also includes (3) counting all of the pages of the parts of the filing (including the number of pages of the specification) and comparing to that stated on the Return Receipt Postcard prior to initialing the Return Receipt Postcard<sup>14</sup>, (4) attaching the written description, drawings and Declaration/Power of Attorney together<sup>15</sup> and (5) recounting the attached papers again verifying that the number of pages matches that indicated on the Return Receipt Postcard before the

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<sup>11</sup>Lebens declaration, paragraph 17

<sup>12</sup>Lebens declaration, paragraph 17

<sup>13</sup>Lebens declaration, paragraph 15 and Exhibit C

<sup>14</sup>Lebens declaration, paragraph 19

<sup>15</sup>Lebens declaration, paragraph 18

Petition 1.10  
S.N. 10/075,706

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papers are placed and sealed in the Express mail envelope<sup>16</sup>.

Mr. Lebens has followed this practice since at least prior to the beginning of 2001.<sup>17</sup> Therefore, based upon his standard practice and his detailed manner, Mr. Lebens is certain that the declaration was included with the nonprovisional application papers deposited with the United States Patent & Trademark Office on February 13, 2002.<sup>18</sup>

#### CONCLUSION

Therefore, based upon the Return Receipt Postcard returned from the USPTO and the detailed standard practice of the attorney who filed the present application, Applicants have shown above that the declaration was in fact deposited with the United States Patent & Trademark Office on February 13, 2002. Thus, Applicants respectfully request and hereby petition that the Notice to File Missing Parts is hereby withdrawn.

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<sup>16</sup>Lebens declaration, paragraph 19

<sup>17</sup>Lebens declaration, paragraph 20

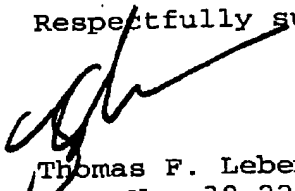
<sup>18</sup>Lebens declaration, paragraph 22

Petition 1.10  
S.N. 10/075,706

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Should there remain any outstanding issues it is respectfully requested the office telephone the undersigned at (858) 587-7644 so that such issues may be resolved as expeditiously as possible.

Respectfully submitted,

  
Thomas F. Lebens  
Reg. No. 38,221

Dated: June 26, 2002

Attachments: Notice to File Missing Parts  
Declaration for Utility or Design  
Patent Application  
Declaration of Thomas F. Lebens  
Exhibit A  
Exhibit B  
Exhibit C

Address all correspondence to:  
**FITCH, EVEN, TABIN & FLANNERY**  
120 So. LaSalle Street, Suite 1600  
Chicago, Illinois 60603-3406

Direct telephone inquiries to:  
Thomas F. Lebens  
(858) 587-7644  
La Jolla, California Office of  
FITCH, EVEN, TABIN & FLANNERY

**FAX COPY RECEIVED**

**JUN 26 2002**

TECHNOLOGY CENTER 2800

DOCKET NO. 73498

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Featherby, et al. )

Appln. No.: 10/075,706 )

Filed: 2/13/2002 )

For: ELECTRONIC DEVICE )  
PACKAGING )

Group Art )

Unit: 2818 )

Examiner: Not Yet Assigned )

FAX COPY RECEIVED

JUN 26 2002

DECLARATION OF THOMAS F. LEBENS TECHNOLOGY CENTER 2800Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Thomas F. Lebens, declare as follows:

1. I am currently registered to practice before the United States Patent and Trademark Office. My registration number is 38,221.

Lebens Declaration  
Appln. No. 10/075,706  
Page -2-

2. I am presently a legal representative of Applicants in the present application.

3. I personally submitted the present application. I reviewed the application and verified the application was complete and included 33 pages of specification including 21 claims on pages 29 through 32.

4. I submitted along with the present application a complete and properly executed declaration consisting of 3 pages.

5. I filed the present application in the United States Patent and Trademark Office on February 13, 2002.

6. The present application and transmittal documents were deposited with the United States Postal Service (USPS) by "Express Mail Post Office to Addressee" in accordance with 37 C.F.R. § 1.10.

7. Attached hereto as Exhibit A is a copy of the original Express Mail Mailing Label, Express Mail Label No. EL870857809US, that accompanied the present application and transmittal documents on February 13, 2002.

Lebens Declaration  
Appln. No. 10/075,706  
Page -3-

8. The Express Mail Mailing Label of Exhibit A indicates the "date in" as February 13, 2001.

9. The present application and transmittal documents were deposited directly with an employee of the San Luis Obispo USPS. This employee initialed and dated the Express Mail Mailing Label with a date of February 13, 2001 and provided the Express Mail Mailing Label of Exhibit A.

10. Attached hereto as Exhibit B is a copy of the Return Receipt Postcard included with the documents deposited with the USPS on February 13, 2002 and as returned to Applicants from the United States Patent and Trademark Office.

11. The Return Receipt Postcard included a sticker according Application No. 10/075,706 and a filing date of February 13, 2002.

12. The Return Receipt Postcard also is labeled with Express Mail No. EL870857809US.

13. It is my standard practice to include a Return Receipt Postcard that accompanies every submission I file with the United States Patent and Trademark Office. It is my standard practice that the Return Receipt Postcard accompanying a new application clearly

Lebens Declaration  
Appln. No. 10/075,706  
Page -4-

identify all parts being filed, e.g., the number of pages in the specification (including the written description, claims and abstract), number of claims, number of sheets of drawings, number of pages of declaration, etc. in accordance with MPEP 503.

14. The Return Receipt Postcard of Exhibit B lists 3 sheets of Declaration for Utility or Design Patent Application included in the submission of February 13, 2002 in accordance with MPEP 503.

15. Also attached hereto as Exhibit C is a copy of the Utility Patent Application Transmittal deposited with the USPS to be sent to the United States Patent and Trademark Office with the present application on February 13, 2002.

16. The Transmittal of Exhibit C also is labeled with Express Mail Label No. EL870857809US and also lists a Declaration.

17. It is my standard practice when determining the number of pages that should be listed on a Utility Patent Application Transmittal to review the specification and accompanying documents and count the number of pages to ensure that every page is present in the application and verify that pages are not missing.

Lebens Declaration  
Appln. No. 10/075,706  
Page -5-

18. It is my standard practice, once all of the components of the submission are assembled, to begin my preliminary review of the documents, executing the documents where required. Upon reviewing the Declaration, I check each page to ensure that each inventor has properly executed the Declaration. Once the documents are prepared to my satisfaction and executed by me, my assistant copies the submission as required and the pages of the Declaration are attached together using a staple punched into the upper left corner of these papers. The submission is then re-inventoried by my assistant and re-submitted to me as reassembled with a large binder clip used to secure the submission.

19. Finally, it is my standard practice to personally review the completed submission having been attached as described in Paragraph 18. My review includes ensuring that all of the papers listed on the Return Receipt Postcard are present. My review also includes re-counting all of the pages to verify that the exact number of pages as listed in the Return Receipt Postcard are present. Once I have completed this review, I personally ensure that all items of the submission are placed into the Express Mail envelope and I personally seal the envelope.



Lebens Declaration  
Appln. No. 10/075,706  
Page -6-

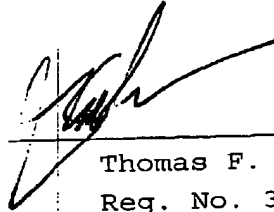
20. I have generally followed this practice since my first filings with the United States Patent & Trademark Office.

21. I have specifically followed the practice described above since at least prior to the beginning of 2001. As such, I estimate that I have filed over 40 applications in accordance with this specific practice.

22. Based upon my standard practice and my personal orientation toward detail, I am certain that a properly executed declaration was sent with the Express Mail package labeled Express Mail Label No. EL870857809US to the United States Patent and Trademark Office on February 13, 2002.

23. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patents issuing thereon, or any patent to which this Declaration is directed.

Lebens Declaration  
Appln. No. 10/075,706  
Page -7-



Thomas F. Lebens  
Reg. No. 38,221

Dated: June 26, 2002

Attachments: Exhibit A  
Exhibit B  
Exhibit C

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JUN 26 2002  
TECHNOLOGY CENTER 2800



# POST OFFICE TO ADDRESSEE



EL 870857809 US

Customer Copy  
Label 11-F August 2000

<b>ORIGIN (POSTAL USE ONLY)</b>	
PO Zip Code 93401	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second
Date In 2-13-01	Postage \$
Time is 12:30 PM	Return Receipt Fee
Weight 4 lbs.	Int'l Alpha Country Code
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Assurance Clerk Initials
Total Postage & Fees \$ 17.45	

SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

<input type="checkbox"/> <b>WAVE OF SIGNATURE (Domestic Only):</b> A calling card or insurance is void if wave of signature is provided. If an address is provided, the address must be the address of the addressee's agent (if delivery employee signs the card, the card can be taken to the addressee's agent and I authorize that delivery employee's signature constitutes valid proof of delivery).	
<input type="checkbox"/> <b>NO DELIVERY:</b> <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	

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METHOD OF PAYMENT: Express Mail Corporate acct. No. <del>XXXXXXXX</del> 831461 X920015	

Federal Agency Acct. No. or  
Postal Service Acct. No.

FR M: (PLEASE PRINT) FITCH EVEN TABIN & FLANNERY 120 S LA SALLE ST STE 1600 CHICAGO IL 60603-3402	PHONE ( ) 312 577 7000
--	------------------------

TO: (PLEASE PRINT) BOX PATENT APPLICATION COMMISSIONER FOR PATENTS WASHINGTON DC 20231	PHONE ( )
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FOR PICKUP OR TRACKING CALL 1-800-222-1811 [www.usps.com](http://www.usps.com)



## EXHIBIT A

Original Express Mail Label No. EL870857809US

Declaration of Thomas F. Lebens

RECEIVED  
Sinsheimer, Schiebelhut & Baggett

MAR 3 4 2002

CALENDAR

20USA

SINSHEIMER, SCHIEBELHUT, BAGGETT & TANGEMAN  
1010 PEACH STREET  
P.O. BOX 31  
SAN LUIS OBISPO, CA 93406

Featherby, et al; ELECTRONIC DEVICE PACKAGING Docket 73498 (7391)  
Express Mail No. EL 870 857 809 US TFL/dkr  
February 13, 2002

Utility Patent Application Transmittal (PTO/SB/05),  
Fee Transmittal for FY 2002 (PTO/SB/17),  
Application Data Sheet (37CFR 1.76),  
Specification and 21 claims (33 pages),  
Informal drawings (12 sheets),  
Declaration for Utility or Design Patent Application (3 pages),  
Return postcard.

JC973 U.S. PTO  
10/075706  
02/13/02

Hon. Commissioner for Patents  
Sir:

Please acknowledge receipt of the above identified documents by  
applying the Patent and Trademark Office receipt hereto and mailing this  
card.

Respectfully,  
SINSHEIMER, SCHIEBELHUT, BAGGETT & TANGEMAN

**EXHIBIT B**

Return Receipt Postcard

Declaration of Thomas F. Lebens

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (03-01)  
 Appr. J for use through 10/31/2002, OMB 0851-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	<b>Attorney Docket No.</b> 73498	
	<b>First Inventor</b> Featherby, Ph.D., et al.	
	<b>Title</b> ELECTRONIC DEVICE PACKAGING	
	<b>Express Mail Label No.</b> EL 870 857 809 US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit on original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages 33] - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12] 5. <input type="checkbox"/> Oath or Declaration [Total Sheets 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/520,928  
 Prior application information: Examiner Diag. P. Group Art Unit: 2818  
 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b> <input checked="" type="checkbox"/> Customer Number or Bar Code Label 22242 (Insert Customer No. or Attach bar code label here) or <input type="checkbox"/> Correspondence address below					
Name FITCH, EVEN, TABIN & FLANNERY					
Address Suite 1600 - 120 South LaSalle Street					
City	Chicago	State	IL	Zip Code	60603-3406
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Name (Print/Type)	Thomas F. Lebens	Registration No. (Attorney/Agent)	38221
Signature		Date	February 13, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**EXHIBIT C**  
 Utility Patent Application Transmittal

Declaration of Thomas F. Lebens